

## RESEARCH ARTICLE

# A randomized controlled trial of exercise in spinal and bulbar muscular atrophy

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## Abstract

**Objective:** To determine the safety and efficacy of a home-based functional exercise program in spinal and bulbar muscular atrophy (SBMA). **Methods:** Subjects were randomly assigned to participate in 12 weeks of either functional exercises (intervention) or a stretching program (control) at the National Institutes of Health in Bethesda, MD. A total of 54 subjects enrolled, and 50 completed the study with 24 in the functional exercise group and 26 in the stretching control group. The primary outcome measure was the Adult Myopathy Assessment Tool (AMAT) total score, and secondary measures included total activity by accelerometry, muscle strength, balance, timed up and go, sit-to-stand test, health-related quality of life, creatine kinase, and insulin-like growth factor-1. **Results:** Functional exercise was well tolerated but did not lead to significant group differences in the primary outcome measure or any of the secondary measures. The functional exercise did not produce significantly more adverse events than stretching, and was not perceived to be difficult. To determine whether a subset of the subjects may have benefited, we divided them into high and low functioning based on baseline AMAT scores and performed a post hoc subgroup analysis. Low-functioning individuals receiving the intervention increased AMAT functional subscale scores compared to the control group. **Interpretation:** Although these trial results indicate that functional exercise had no significant effect on total AMAT scores or on mobility, strength, balance, and quality of life, post hoc findings indicate that low-functioning men with SBMA may respond better to functional exercises, and this warrants further investigation with appropriate exercise intensity.

## Introduction

Spinal and bulbar muscular atrophy (SBMA) is an X-linked neuromuscular disorder caused by polyglutamine repeat expansion in the androgen receptor.<sup>1,2</sup> SBMA causes progressive muscle weakness, cramps, and tremor, with degeneration of motor neurons and muscle.<sup>3,4</sup> These changes often lead to limitations in mobility and in the capacity to perform functions such as sitting up and standing from a seated position.<sup>5,6</sup> The loss of these abilities leads to greater dependence on caregivers, with associated psychosocial ramifications.

Exercise training has been shown to improve function in older adults<sup>7</sup> and in disease populations.<sup>8,9</sup> In humans, exercise has been shown to increase levels of insulin-like growth factor-1 (IGF-1),<sup>10,11</sup> which alleviates the manifestations of SBMA in transgenic mice.<sup>12</sup> These findings suggest that exercise may be beneficial in SBMA.

Clinical studies of the efficacy of exercise training to improve physical function in persons with neuromuscular diseases (NMD) have had mixed results. One review concluded that exercise is likely to be effective in improving function<sup>13</sup>, whereas others were more equivocal.<sup>14</sup> Some discrepancy in these studies may be due to the

heterogeneity of diagnoses and various degrees of disease severity included in the studies.<sup>15</sup> Interestingly, improvements in physical function after participating in home-based resistance plus balance training have been observed independent of changes in muscle strength in both elderly populations<sup>16</sup> and subjects with amyotrophic lateral sclerosis.<sup>17</sup> A previous trial of aerobic exercise in SBMA conducted with eight subjects did not show a benefit in the primary outcome measure of maximum oxygen uptake or in activities of daily living, however, a significant increase in maximal work capacity was observed.<sup>18</sup>

To date most trials of exercise interventions in NMD have used either resistance or aerobic exercises, with little if any research examining the effects of functional exercise interventions. Functional exercises are designed to resemble activities typically performed in daily life and are potentially of greater benefit to patients with activity limitations. The purpose of this study was to examine the effects of a home-based functional exercise program on physical function in a cohort of subjects with SBMA and to evaluate the safety of this approach.

## Methods

### Overview

We conducted a 12-week, randomized, evaluator-blinded functional exercise trial at the National Institutes of Health (NIH) Clinical Center in Bethesda, MD, from July 2011 to January 2014 (Data S1). Subjects were randomly assigned to either an intervention group that performed a functional exercise program or a control group that performed a stretching program. Subjects were required to participate in telephone and video monitoring to ensure compliance and intervention fidelity. The minimum compliance requirement to be maintained in the study was completion of 80% of the telephone contact forms and other communications and 85% of the assigned exercise sessions.

### Subjects

All subjects were men over 18 years of age with genetically confirmed SBMA. In addition, subjects were required to have an Adult Myopathy Assessment Tool (AMAT)<sup>5</sup> score from 14 to 41. Subject group randomization was achieved using stratified block randomization based on AMAT scores with a block size of four.

### Exercise programs

Both the functional exercise and stretching programs were developed by NIH physical therapists familiar with SBMA

(Data S2). They were taught by unblinded physical therapy staff. Functional exercises included trunk sit back, sit-to-stand (STS), standing squat with theraband row, standing lunge with theraband forward reach, double limb heel raise, and wall pushup. Maximal functional exercise capacity for each exercise was assessed by subjects accurately performing as many repetitions as possible in 60 sec. Participants in the functional exercise group began the first week with one set of exercises performed on two nonconsecutive days at 50% of the maximal number of repetitions achieved at baseline in order to assure exercise safety (Fig. S1). After the first week, the repetitions were increased to 70% of the maximal baseline performance for the study duration. At week three, the frequency was increased to 3 days a week. For weeks 4–6, the subjects performed two sets of each exercise at each session, and at week seven they began three sets per session. Subjects were instructed to keep exercise logs. They were asked to provide their OMNI rating scale of perceived exertion (RPE)<sup>19,20</sup> and soreness using two different 0–10 point scales, with 10 indicating the highest level of exertion and soreness. Exercise subjects were instructed to use the following scale when calculating their weekly RPE: 0–2 = very easy; 3–4 = easy; 5–6 = starting to get hard; 7–8 = very hard; 9–10 = so hard I am going to stop. Ratings of soreness were collected with the following scale: 0 = no soreness; 1–3 = mild soreness; 4–6 = moderate soreness; 7–10 = severe soreness.

### Outcomes

Physical function and endurance were measured with the AMAT<sup>5,21</sup> which served as the primary outcome measure for this study. Quantitative muscle assessment (QMA) was done by measuring the maximal voluntary isometric muscle contraction of seven muscles bilaterally, including shoulder abduction, elbow flexion, hand grip, hip extension, knee extension, ankle dorsi, and plantar flexion.<sup>21</sup> Only the right side was analyzed. All muscle strength data were normalized by body mass index and compared to control values for calculation of the percent predicted strength.<sup>22–24</sup> The progressive height STS test was modified and used as described by Schenkman *et al.*<sup>25</sup> Mobility was also measured with the Timed Up and Go (TUG) test and the Actical accelerometer (Philips Respironics, Bend, OR). The Actical was worn during the first 10 days of the trial and during the last 10 days before the final evaluation.<sup>26–28</sup> In order to account for the subjects who did not fully comply with the 10-day wear period, all total variable counts were weighted by dividing the average value by the total number of days recorded for each subject. Subjects having  $\geq 6$  days of pre- and post activity data were analyzed. The Computerized

Dynamic Posturography SMART EquiTest system and a long force plate (NeuroCom International, Clackamas, OR) were used for a quantitative assessment of balance. The Medical Outcomes Study 36-item Short Form version 2 (SF36 v2; Quality Metric software, Lincoln, RI) was used to assess the health-related quality of life and the Beck Depression Inventory (BDI) II (Pearson, San Antonio, TX) was used to screen for depressive symptoms. Blood work was performed at baseline and follow-up and included assessments of creatine kinase (CK), IGF-1, and testosterone.

An adverse events questionnaire was administered to subjects electronically every week to monitor any negative effects related to exercise, including pain, muscle fatigue, cramping, and soreness. Additional measures included the bulbar rating scale (reported as 0–100%) and serological markers as reported previously by our group.<sup>29</sup>

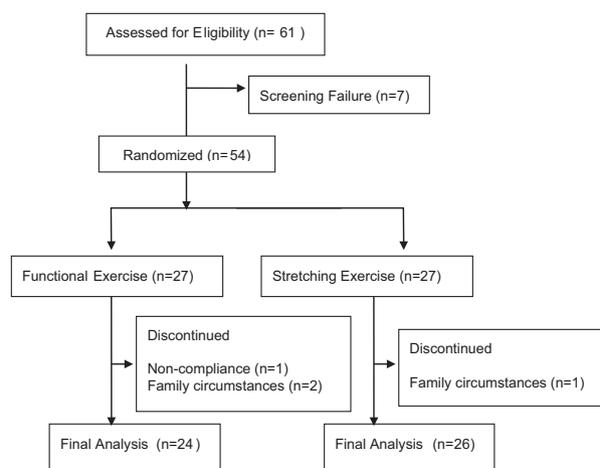
## Statistical analysis

The sample size needed was calculated based on an anticipated 10% change in the AMAT total score in the intervention group compared to control. This change in AMAT would be roughly equivalent in magnitude to the amount of disease progression over 2 years.<sup>29</sup> In order to obtain 80% power with alpha at 0.05 for this study we kept recruitment open until 50 subjects completed the study. Groups' baseline characteristics were compared with independent sample *t*-tests. Effects of the intervention were analyzed with a two-way repeated measures ANOVA on each dependent variable with group (intervention vs. control) as a between factor, and time (pre-test vs. posttest) as a within factor. Baseline age, disease duration, bulbar rating scale, serum IGF-1, testosterone and CK levels, and functional classification were explored as potential covariates. Post hoc analyses were performed to determine the effects of our intervention in subjects classified as low or high function. Classification was based on baseline AMAT functional subscale scores (Low  $\leq 15$ , High  $> 15$ ). The cutoff of 15 was chosen because it was the median for both groups. Significance was established at 0.05, without adjustment for multiple comparisons.

## Results

### Patient characteristics

Of 61 subjects screened, seven were not enrolled due to AMAT total scores above the cutoff, leaving 54 participants entering the trial (Fig. 1). Altogether a total of 50 subjects completed the study and were included in the analysis, with 24 subjects in the intervention group, and



**Figure 1.** Flowchart of the trial. One subject in the functional exercise group dropped out due to noncompliance with uncompleted compliance surveys, videos, and weekly exercise forms. Two other subjects in the functional group and one in the stretching group dropped out because of family circumstances.

**Table 1.** Baseline characteristics comparing intervention versus control.

Characteristics	Intervention (n = 24)	Control (n = 26)	P value	Reference range
Age (years)	53.8 (10.0)	56.5 (8.1)	0.28	
CAG repeat length	47.3 (4.9)	46.9 (2.7)	0.68	<39
CK (U/L)	1038 (616)	1232 (1171)	0.47	52–386
IGF1 (ng/mL)	137.8 (51.1)	155.3 (39.5)	0.18	87–283
Testosterone, total (ng/dL)	385.2 (109.6)	382.4 (153.9)	0.94	181–758
Disease duration (years)	15.1 (7.5)	16.0 (10.7)	0.11	
Bulbar Rating Scale	92.3 (4.1)	92.3 (4.7)	0.99	
Body mass index	28.2 (5.1)	28.3 (10.7)	0.61	
QMA total strength (% predicted)	41.0 (16.9)	39.3 (20.8)	0.68	
QMA UE strength	40.0 (16.0)	36.0 (20.0)	0.97	
QMA LE strength	41.7 (17.6)	41.9 (21.3)	0.41	

Data are given as mean (SD). CAG, cytosine adenine guanine; CK, creatine kinase; IGF-1, insulin-like growth factor 1; QMA, quantitative muscle assessment; UE, upper extremity; LE, lower extremity.

26 in the stretching control group. Baseline characteristics are shown in Table 1 and were similar between groups. All subjects had a high compliance rate of 88.8%. Some subjects experienced adverse events such as cramping and

**Table 2.** Comparisons between intervention versus control groups at pretest versus posttest.

	Intervention group				Control group				P-value
	Pretest	Posttest	Change	N	Pretest	Posttest	Change	N	
<b>Mobility</b>									
AMAT total <sup>1</sup> (0–45)	29.3 (6.8)	29.9 (6.6)	0.6	24	28.9 (6.7)	29.0 (7.7)	0.2	26	0.60
AMAT functional <sup>1</sup> (0–21)	14.6 (4.0)	15.3 (3.7)	0.6	24	15.4 (3.4)	15.1 (4.0)	−0.3	26	0.08
AMAT endurance <sup>1</sup> (0–24)	14.7 (3.3)	14.7 (3.6)	0.0	24	13.5 (3.7)	13.9 (4.0)	0.4	26	0.29
STS scale <sup>1</sup> (% of knee height)	103.3 (22)	103.8 (24.3)	0.4	24	102.3 (22.5)	103.1 (23.5)	0.8	26	0.86
TUG (sec) <sup>1</sup>	10.8 (6.5)	11.0 (6.5)	0.2	24	9.5 (3.2)	9.6 (3.7)	0.1	26	0.93
Actual total activity (average count per day) <sup>1, 2</sup>	53,949 (42,610)	61,797 (48,383)	7848	20	69,326 (51,539)	70,498 (50,508)	1171	23	0.19
<b>Molecular</b>									
CK (U/L)	1038 (616)	1098 (671)	61	24	1232 (1174)	1153 (1183)	−79	26	0.06
IGF-1 (ng/mL)	137.8 (51.0)	137.6 (54.6)	−0.3	24	155.3 (39.5)	154.0 (41.3)	−1.3	26	0.88
Testosterone, total (ng/dL)	385.2 (109.6)	396.2 (124.3)	10.9	24	382.4 (153.9)	406.4 (172.5)	24	26	0.57
<b>Overall health</b>									
BDI (0–21)	8.4 (5.6)	8.8 (5.7)	0.3	24	10.6 (8.1)	8.7 (6.7)	−1.9	26	0.07
SF36v2 PCS <sup>1</sup>	32.9 (7.0)	33.0 (7.3)	0.4	23	33.1 (6.9)	34.1 (7.4)	1.0	26	0.74
SF36v2 MCS <sup>1</sup>	54.6 (9.4)	53.3 (10.0)	−1.3	23	53.1 (12.0)	54.4 (10.3)	1.3	26	0.26
SF36v2 VT	45.4 (20.6)	46.7 (20.5)	1.4	23	44.5 (20.3)	48.6 (19.5)	4.1	26	0.48
<b>Strength</b>									
QMA total (scaled to BMI) <sup>1</sup>	9.26 (2.51)	9.08 (2.59)	−0.18	24	8.77 (3.65)	8.93 (3.87)	0.16	24	0.08
QMA upper extremity	2.71 (1.17)	2.74 (1.15)	0.03	24	2.62 (1.14)	2.62 (1.19)	0.00	25	0.75
QMA lower extremity	6.43 (2.42)	6.54 (2.68)	0.11	24	6.13 (1.98)	5.98 (1.96)	−0.14	25	0.13
<b>Balance</b>									
mCTSIB composite (COG sway velocity in deg/sec) <sup>1</sup>	1.5 (0.8)	1.5 (0.7)	−0.2	20	1.3 (0.5)	1.3 (0.5)	0.0	23	0.73
MCT composite (latency in msec)	154.0 (15.2)	154.3 (15.5)	0.3	21	146.4 (10.4)	149.2 (10.9)	2.8	22	0.23

STS scale utilized seven levels from 80% to 140% of knee height, which was measured from tibial crest to the floor. The SF36v2 is reported as a norm-based number (0–100 with 50 as the mean) calculated with Quality Metric software (Lincoln, RI). Data are given as mean (SD). AMAT, Adult Myopathy Assessment Tool; CK, creatine kinase; IGF-1, insulin-like growth factor 1; BDI, Beck Depression Inventory; SF36v2, short form quality of life survey; PCS, physical component summary; MCS, mental component summary; VT, vitality component; STS, progressive height sit-to-stand test; TUG, time up and go test; QMA, quantitative muscle assessment; BMI, body mass index; COG, center of gravity; mCTSIB, modified clinical test of sensory interaction on balance; MCT, motor control test.

<sup>1</sup>Classification (low or high function) is a significant covariate.

<sup>2</sup>Age is a significant covariate.

falls, which are common in the SBMA population<sup>21</sup> (Table S1). There was no significant difference between the number of adverse events reported by the intervention and control groups. All but one subject (in the control group) returned all self-reported questionnaires (one SF36 missing).

## Outcome measures

The comparisons between intervention versus control groups at pretest versus posttest are shown in Table 2. No significant improvements in the primary outcome measure, the AMAT total score, or in the AMAT functional and endurance subscales were observed for either

group. Similarly, no significant changes were observed for any other measures of mobility, or other outcome categories. Our analysis showed elevation of CK levels in the intervention group by 61 U/L and the control group decreasing by 79. RPE reported in the exercise logs were relatively low for the entire cohort, with a mean score of  $3.2 \pm 1.5$  (week 1), to  $3.5 \pm 1.5$  (week 6), and  $3.3 \pm 1.6$  (weeks 9 and 12). Ratings of soreness after performing the exercise routine were also low for the entire cohort, ranging from mean 2.31 (week 1), to 2.14 (week 6), and 2.28 (weeks 9 and 12). Functional variables measured in the Neurocom force plate including forward lunge, STS, step up and over, and step quick turn tests were not reported in the analysis because a great number of data

were missing due to test floor effect as many patients were unable to safely perform the tasks.

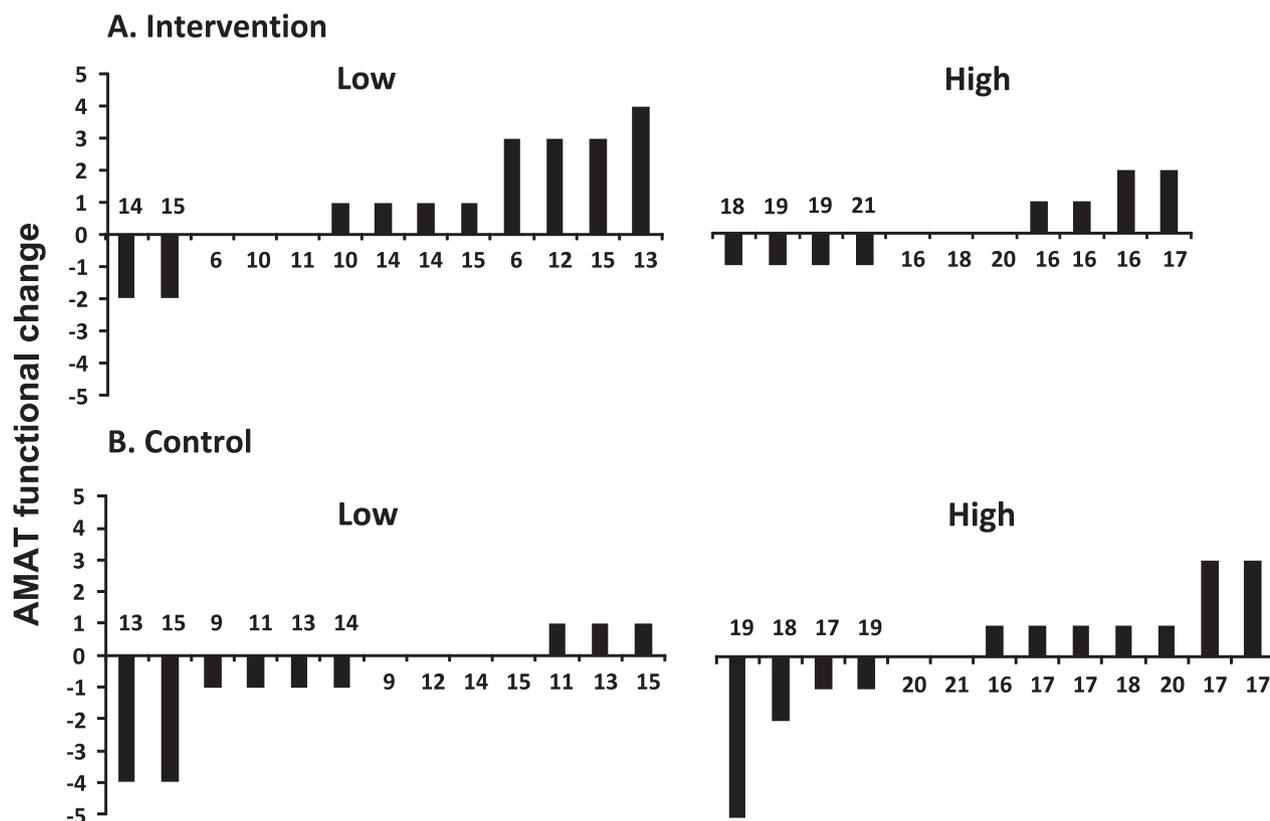
### Post hoc analysis

We found that the AMAT functional subscale baseline scores (low vs. high function) were a significant covariate (Table 2), and we therefore carried out post hoc analyses to determine the influence of functional level on our cohort's response to the intervention.

When groups were separated according to baseline AMAT functional subscale scores (Fig. 2), we found that individuals with lower AMAT scores who received the intervention improved physical function as measured by the AMAT functional subscale, whereas individuals with similarly low AMAT baseline scores in the stretching control group declined. Figure 2 shows that eight subjects with low function in the functional exercise intervention group (top left) improved compared to only three subjects with low function in the control group (bottom

left). Individuals with higher AMAT baseline functional subscale scores who received the intervention became more active, showing an increase in total activity count per day by accelerometry when compared to the control group, accounting for age differences (Fig. S2). These changes in total activity do not appear to be an indication of the exercise stimulus itself, as the time the intervention was performed occupied only a small fraction of the total activity time analyzed (Fig. S3). An independent *t*-test on change scores confirmed this finding ( $P = 0.03$ ).

Additionally, high-function individuals who received the intervention reported increased depressive symptoms as measured with the BDI when compared to those in the control group (group by time interaction  $F_{(1,22)} = 4.88$ ,  $P = 0.04$ ). An independent *t*-test on change scores confirmed this finding ( $P = 0.04$ ). It is important to note that the high-functioning individuals in the control group had increased depressive symptoms at baseline (Table S2). There was a borderline difference in average CK change between the intervention and control groups ( $P = 0.06$ ).



**Figure 2.** Change in the functional Adult Myopathy Assessment Tool (AMAT) score following intervention (A) and stretching (B) by individual. The terms low and high are used to separate the groups of individuals with an initial functional AMAT score below and including 15, classified as the low function group or above 15, classified as the high function group. Bars above zero indicate an improvement on functional AMAT at posttest compared to pretest, whereas bars below zero indicate deterioration. No bars indicate that the Functional AMAT score was the same at posttest compared to pretest. The numbers displayed above or below each bar indicate individual initial functional AMAT score. Within each group, individuals are ordered by difference in score.

Six subjects in the intervention group had an increase in CK by over 200 U/L compared to one subject in the control group. None of the other variables were significantly different between the groups when individuals were classified as low or high function.

## Discussion

### Functional exercise is safe and well tolerated

All subjects achieved a minimum completion rate of 30/34 exercise sessions. Our analysis showed a modest elevation of CK in the intervention group compared to the control group, which could reflect more muscle breakdown. However, this degree of CK elevation is smaller than reported in other studies assessing aerobic exercise<sup>30</sup> and strength training.<sup>31</sup> In addition, RPE during the exercises and soreness after performing the exercise were relatively low for the entire cohort, suggesting that the exercises were perceived as low intensity. These data coupled with the observation that muscle strength did not appreciably decline during the study period lead us to conclude that there was little if any muscle damage.

### Functional exercise did not improve the primary outcome measure

Functional exercise had no significant effect on the total AMAT, which includes both functional performance and endurance. Post hoc analyses offered some insights into why the total AMAT did not capture functional task performance changes in the intervention group, as virtually all of this groups' change was seen in the AMAT functional subscale. The lack of change in serum IGF-1 levels may be related to the time point after exercise that was tested or the relatively low intensity of exercise. Previous reports of increased IGF-1 with exercise showed effects soon after high-intensity cycle ergometry.<sup>10,11</sup>

### Functional exercise may improve functional task performance in individuals with low function

While the trial results showed no effect on the total AMAT, post hoc analysis showed that our cohort had a wide range of functional levels, which may have influenced intervention response. Individuals with low baseline function in the intervention group did better than those with low baseline function in the control group. The improvement seen in those with lower function was unaccompanied by significant changes in total AMAT, the endurance subscore, other measures of mobility, strength,

balance, and quality of life, or biochemical markers. We do not think this improvement in the low function intervention group resulted from a practice effect since the high function group did not show a similar improvement.

Several factors could have influenced why those with high function did not improve functional task performance. Although we attempted to individualize the functional exercise dose, it is possible that those with higher initial function were underworked. The RPE ratings suggest the entire cohort felt the intensity of functional exercise and stretching was light rather than the desired moderate intensity. Finally, those with high function may have had a ceiling effect, since those with higher AMAT functional subscale baseline scores (e.g., 19, 20, or 21), may have had less room to increase their score, having only one or two tasks that could be improved upon, given the maximum possible score of 21. For example, our use of the AMAT total score with study exclusion criteria above 41, as the primary outcome measure, did not allow us to appreciate that nine subjects with functional subscale scores of 19, 20, and 21 were enrolled. Only one of these nine subjects improved on the AMAT functional subscale.

In a previous study from our group, the mean total AMAT score decreased by 9.1% over 2 years.<sup>29</sup> The AMAT functional subscale accounted for over 80% of that decline, indicating that the functional subscale outperformed the endurance subscale in detecting change in SBMA (Fernandez-Rhodes *et al.*, unpublished). Additionally, our exercise intervention was designed to improve upright functional tasks with less emphasis on improving endurance. Given these considerations, we felt it was appropriate to explore the analysis of the AMAT functional subscale alone.

Our results also show that high function individuals performing exercise increased their activity during the last 10 days of the study period compared with the first 10 days, in comparison with those who performed stretching. We believe this improvement was not related to activity measurement during the intervention itself since the intervention sessions represented a small segment of each 10-day measurement period, and no significant increase in activity was observed during the intervention sessions themselves. Also, the activity increase was not seen in those with low function who exercised. It is possible that those with higher functional capacity can increase their activity level through exercise without measurable effects on their function or endurance testing.

Surprisingly, depressive symptoms increased in those with high function at baseline who received the intervention. BDI scores indicate that these individuals were not depressed initially, but ended the trial with higher scores indicating mild depressive symptoms. This finding may

be related to the high BDI scores in the control group at baseline and subsequent improvement in scores within this group. It is also possible that the intervention may have contributed to increased depression by requiring men with relatively high, and yet abnormal function and strength, to perform challenging activities that remind them of their functional limitations, while those in the control group performed stretching which may have improved their overall well-being.

### **Additional considerations for future studies**

In retrospect, a future study could include closer monitoring to achieve a higher intensity exercise for a longer duration. One may consider powering a study based primarily on functional testing. Advantages of the AMAT functional subscale include the aforementioned improved ability to detect functional change in SBMA with the functional subscale compared with the endurance subscale. Other functional measures are also available, including the newly developed SBMA-FRS.<sup>32</sup> On the other hand, studies examining aerobic exercise, may consider powering based primarily on endurance scales. Nevertheless, careful consideration should be taken when choosing a primary outcome measure so that the study population fits within the dynamic range of the measure.

In this study and in previous work by our group, we detected that core strength could be diminished in SBMA as evidenced by difficulty in performing the sit-up task.<sup>5</sup> The exercise regimen in this trial included careful instruction to focus on contracting trunk muscles in an attempt to maintain a stable core during all functional exercises, but we did not include a direct measure of core strength.

### **Limitations**

This study did not provide direct exercise supervision or an objective measure of the work performed during exercise. The functional exercises were designed to achieve moderate intensity, at 70% of baseline performance. However, the level of RPE after adjustments in exercise intensity and frequency indicates that the exercise was considered light rather than moderate intensity by the participants. Finally, although we found an improvement on the AMAT functional subscale in those entering with low function on post hoc analysis, the minimal clinically important change in scores has not yet been determined for the AMAT or its subscales in subjects with SBMA.

### **Conclusion**

This study showed that a 12-week course of light functional exercise has no significant effects on muscle function,

strength, balance, or quality of life when compared to stretching in a wide functional range of subjects with SBMA. However, functional exercise had a favorable safety profile, and post hoc analysis indicates that functional exercise may improve task performance in those with low baseline function. Subjects with high baseline function had an increase in overall activity, but also an increase in depressive symptoms. Further studies should consider longer trial duration, higher intensity exercise, use of function-based scales as appropriate, and a more targeted study population to reduce group variability when assessing outcomes in interventions designed to improve functional task performance.

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### **Author Contributions**

J. S., C. Z., I. K., and B. E. D. wrote the initial draft and edited the manuscript, analysis/interpretation of data. J. S., E. L., G. O. J, A. K., M. R. S., B. E. D., J. G. W., C. Z., W. C., and C. G. contributed to study concept/design, acquisition of data. K. H. F., C. G. contributed to study concept/design, writing and editing of manuscript, analysis/interpretation of data. M. R. S., W. C., and E. L. were involved in instruction of the study exercises. A. K. was the study coordinator. C. Z. and S. A. performed the statistical analysis, L. G., D. F. performed the acquisition of data. A. B. S was involved in study concept/design.

### **Conflict of Interest**

None declared.

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## Supporting Information

Additional Supporting Information may be found in the online version of this article:

**Figure S1.** Twelve weeks of exercise intervention.

**Figure S2.** Post hoc comparison of total activity level between intervention and control group. Change in accelerometer total activity count following intervention (A) and stretching (B) by individual. The terms low and high are used to separate the groups of individuals with an initial functional AMAT score below and including 15, classified as the low function group or above 15, classified as the high function group. Bars above zero indicate an improvement in total activity at posttest compared to pretest, whereas bars below zero indicate deterioration. The numbers displayed above or below each bar indicate

the total activity count. Within each group, individuals are ordered by difference in activity.

**Figure S3.** Representative accelerometer activity counts during the beginning and end of intervention. Data show the activity counts from a representative individual during the initial and final days of study intervention. All subjects were instructed to record the time of the intervention by depressing a button on the accelerometer device. Arrows indicate the 1 h time intervals during which the intervention was performed. Activity data during this hour are indicated in black.

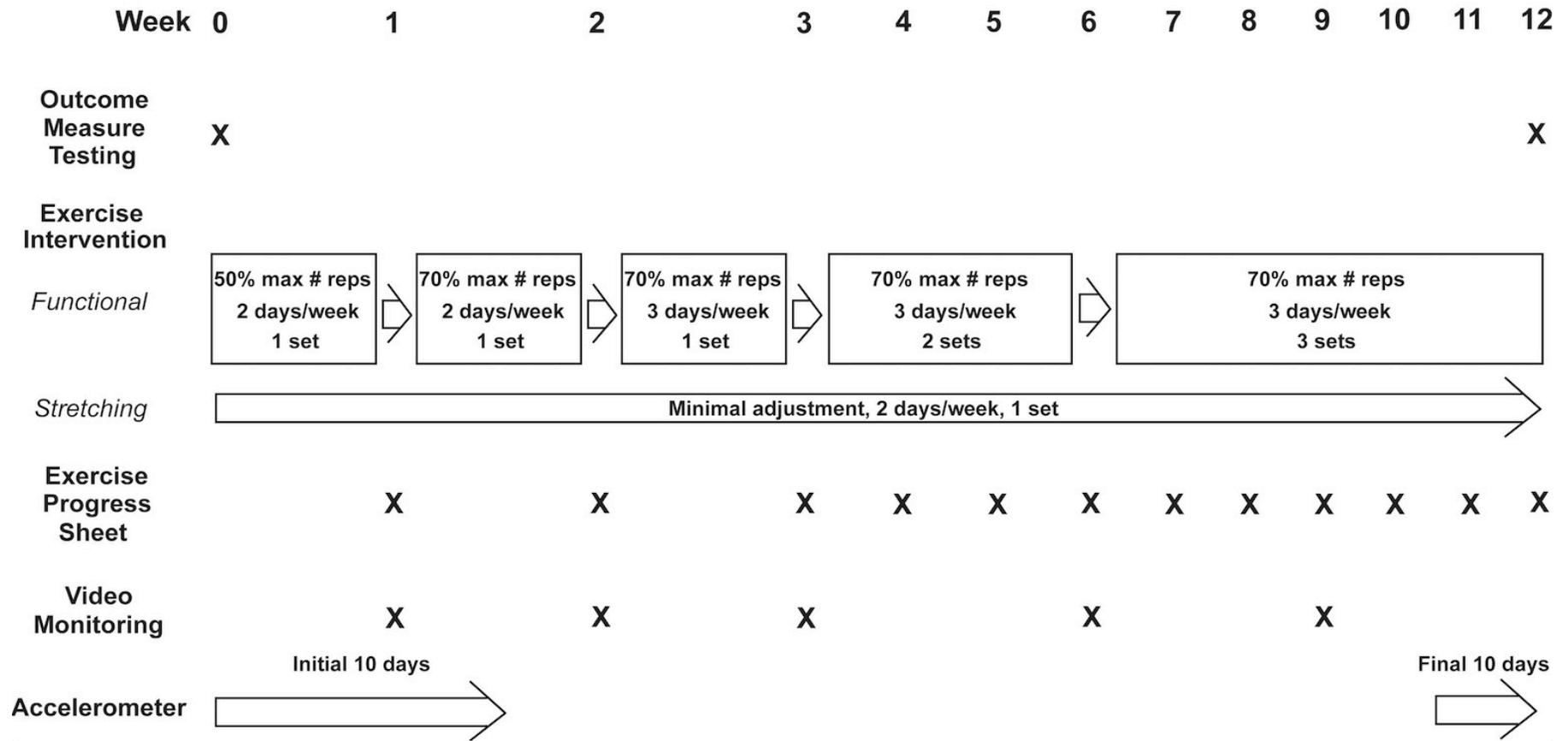
**Table S1.** Adverse events reported by more than 10% of subjects in either group. Data are the number of subjects reporting each adverse event, with the number of events shown in parentheses. *P* values are for comparison of the number of subjects reporting adverse events with stretching or functional exercises, based on chi-square analysis.

**Table S2.** Baseline characteristics comparing low function versus high function individuals under each group (intervention and control). CK, creatine kinase; IGF1, insulin-like growth factor 1; QMA, quantitative muscle assessment. \**P* < 0.05.

**Data S1.** Clinical protocol. Effect of functional exercise in patients with spinal bulbar muscular atrophy.

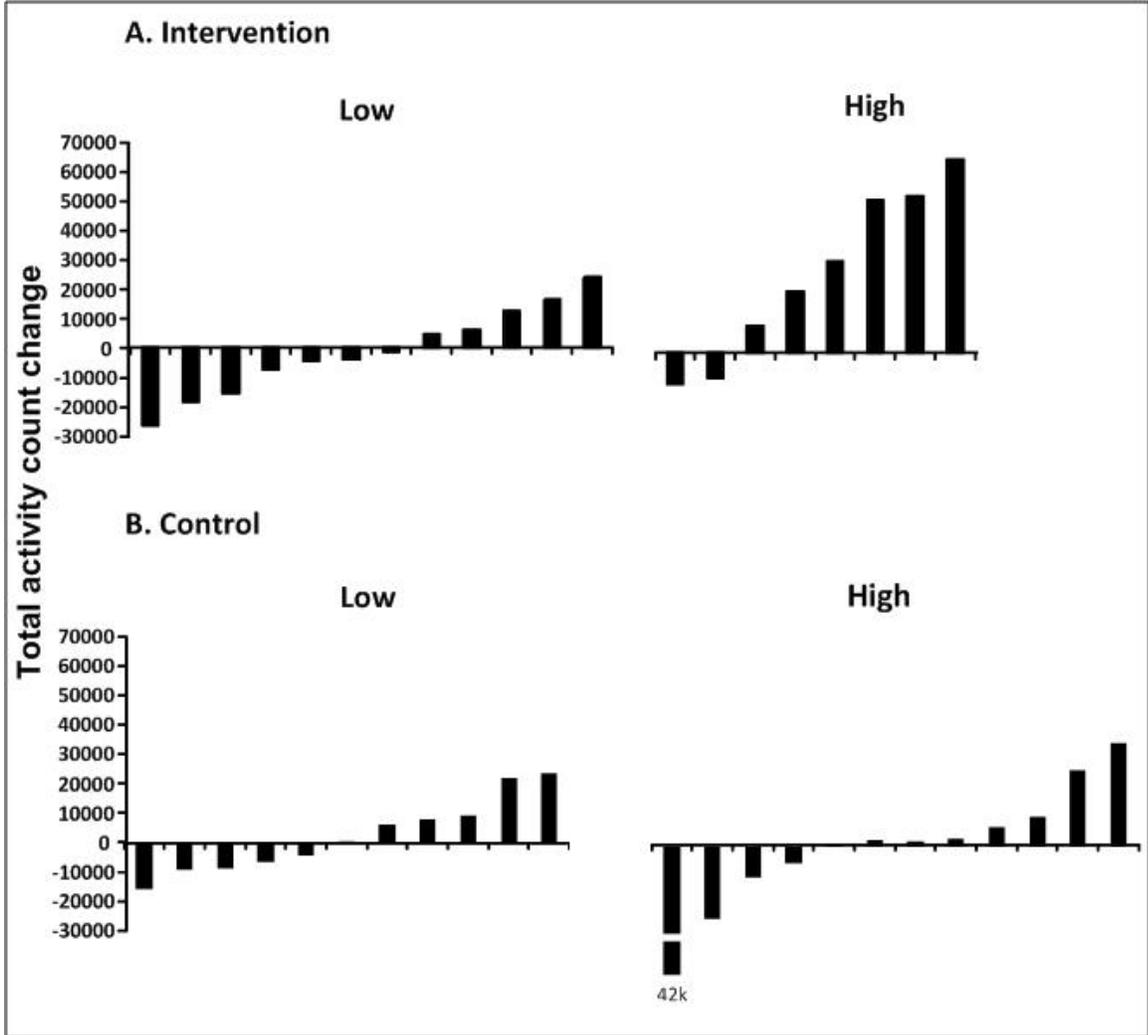
**Data S2.** Description of stretching and functional exercises.

**Supplementary Figure 1: 12 weeks of exercise intervention.**



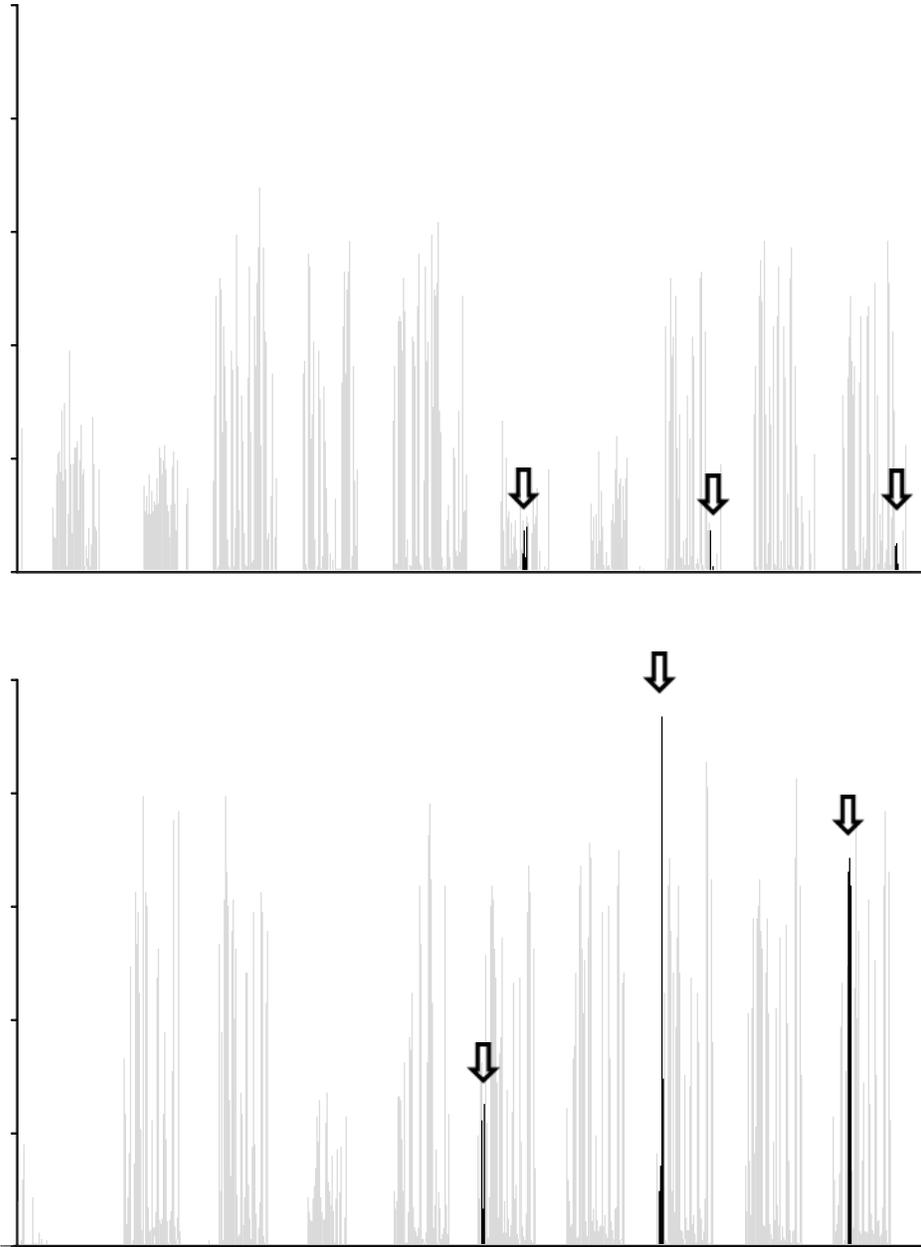
Subjects completing the functional exercises increased the intensity, frequency, and load of exercises as shown with adjustments at the end of weeks 1, 2, 3, and 6. Stretching exercises were not significantly changed. Outcome and monitoring assessments were collected at the indicated times.

**Supplementary Figure 2: Post hoc comparison of total activity level between intervention and control group.**



Change in accelerometer total activity count following intervention (A.) and stretching (B.) by individual. The terms low and high are used to separate the groups of individuals with an initial functional AMAT score below 15, classified as the low function group or above and including 15, classified as the high function group. Bars above zero indicate an improvement in total activity at post-test compared to pre-test, whereas bars below zero indicate deterioration. The numbers displayed above or below each bar indicate the total activity count. Within each group, individuals are ordered by difference in activity.

**Supplementary Figure 3: Representative accelerometer activity counts during the beginning and end of intervention.**



Data shows the activity counts from a representative individual during the initial and final days of study intervention. All subjects were instructed to record the time of the intervention by depressing a button on the accelerometer device. Arrows indicate the 1 hour time intervals during which the intervention was performed. Activity data during this hour is indicated in black.

**Supplementary Table 1: Adverse events reported by more than 10% of subjects in either group**

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	Stretching (n=26)	Functional (n=24)	p value
Falls	11 (26)	7 (13)	0.33
Fatigue	6 (7)	7 (8)	0.62
Muscle cramps	5 (8)	6 (9)	0.62
Muscle weakness	5 (6)	5 (7)	0.89
Myalgia	6 (8)	3 (4)	0.33
Pain	4 (7)	3 (5)	0.77

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Data are the number of subjects reporting each adverse event, with the number of events shown in parentheses. P values are for comparison of the number of subjects reporting adverse events with stretching or functional exercises, based on  $X^2$  analysis.

**Supplementary Table 2. Baseline characteristics comparing low function versus high function individuals under each group (intervention and control).**

Characteristics	Intervention		p value	Control		p value
	High (n=11)	Low (n=13)		High (n=13)	Low (n=13)	
Age (years)	51.7 (10.6)	55.5 (9.4)	0.36	54.7 (7.1)	58.4 (8.8)	0.25
CAG repeat length	48.2 (6.8)	46.6 (2.5)	0.44	46.4 (3.1)	47.4 (2.3)	0.36
CK (U/L)	1092 (548)	992 (688)	0.70	1554 (1581)	910 (386)	0.18
IGF1 (ng/ml)	136.2 (67.5)	139.2 (34.6)	0.88	161.5 (38.3)	149.1 (41.3)	0.43
Testosterone, Total (ng/dL)	442.4 (110.3)	336.8 (85.9)	0.015*	373.6 (171.6)	391.2 (140.6)	0.77
Beck Depression Inventory	7.9 (5.3)	8.9 (6.0)	0.69	13.7 (9.3)	7.5 (5.2)	0.049*
Disease duration (years)	13.8 (7.4)	16.2 (7.6)	0.44	13.0 (9.1)	18.9 (11.7)	0.16
Bulbar Rating Scale	93.5 (4.6)	92.3 (5.7)	0.59	91.3 (3.5)	92.3 (3.7)	0.48
Body mass index	27.4 (6.8)	29.0 (3.3)	0.46	29.6 (4.2)	26.9 (4.4)	0.11
Total QMA Strength (% predicted)	48.2 (12.2)	34.9 (9.3)	0.006*	49.5 (18.7)	28.9 (8.3)	0.001 *
UE QMA Strength	48.7 (12.0)	35.8 (11.6)	0.014*	45.1 (22.2)	26.9 (9.1)	0.011 *
LE QMA Strength	47.5 (16.2)	33.7 (10.2)	0.019*	52.8 (17.2)	30.4 (10.1)	<0.0001 *

Abbreviations: CK: creatine kinase, IGF1: insulin-like growth factor 1, QMA: quantitative muscle assessment. \* p<0.05.

## Supplementary Text 2. Description of Stretching and Functional Exercises

### STRETCHING EXERCISES

(Note, placeholder indicates area where evaluator will insert numerical value dependent on the ability of the participant.)

#### **Sitting - Neck rotation**

Sit tall , shoulders relaxed

Slowly turn to one side

Breathe naturally and hold stretch \_\_\_\_\_ seconds

Repeat other side



#### **Trunk Side bending**

Sit tall, arms by your side or hands on your shoulders

Slowly bend to one side making a smooth curve

Breathe naturally and hold stretch \_\_\_\_\_seconds

Repeat other side



#### **Sitting Hamstring stretch**

Sit tall in sturdy chair with stable object 6-10" in height on the floor in front of you ( i.e. box, telephone book, foot stool)

Place one foot on box/telephone book

Keeping your knee as straight as possible, lean forward with trunk erect so you feel a stretch behind the knee or thigh.

Avoid arching your back or reaching with hands

Breathe naturally and hold stretch \_\_\_\_\_seconds

Repeat other side



### **Calf stretch**

Stand tall with shoes on and your forefoot on a stable object 1 to 1.5 inches tall and heel on floor

Lean entire torso forward until a stretch is felt in calf muscle(s)

Hold stretch for \_\_\_\_\_seconds

Repeat with other leg or do both legs at the same time



### **Doorway or Corner stretch**

Stand tall in front of a doorway or corner

Arms out to side with palms flat on wall or doorframe

Hands are at shoulder height or lower

Keep spine erect as you step forward so you feel a chest stretch

Breathe naturally, relax shoulders and hold for \_\_\_\_\_seconds



### **Hip Rotator stretch**

Lying down- keep head, neck and back flat

Hands behind your head If possible

Knees bent, cross R leg over L

Use R leg to gently pull L leg towards the supporting surface until stretch is felt

Breathe naturally and hold for \_\_\_\_\_seconds

Repeat other side



### **ADDITIONAL STRETCHES**

To be prescribed as substitutions for stretches above as needed for total of 6 stretches

### **Sitting trunk stretch**

Sit tall, elbows bent with hands behind head

Breathe naturally as you bring elbows out to the side and hold for \_\_\_\_\_seconds



### **Knee to chest stretch**

Lying down bring one knee up and hold under the thigh with the other leg straight  
Gently bring knee up towards your chest and slightly across towards opposite shoulder

Breathe naturally and hold for \_\_\_\_\_seconds



## **FUNCTIONAL EXERCISES**

### **Sit Backs**

Chair –Sit forward in the chair, the distance stated by your instructor, with feet flat on the floor. Sit tall, cross your hands across your chest. Exhale, draw abdominal muscles in as you lean back to count of 1 -2 – 3 and forward 1- 2 – 3. Tap the chair gently as you lean back if you can. However, don't bounce forward, use your stomach muscles.

**Positions:**

- a) arms by side if needed
- b) arms folded over chest
- c) arms behind head

Resistance - increased by sitting forward and increasing the distance the body is moving back.



### Sit to Stand

Sitting – Sit towards the front of the chair, cross arms across chest, lean back and inhale;

Exhale as you bring your body forward to standing position.

TIP: think of lengthening your trunk and pushing your feet into the floor; squeeze the buttocks to help you feel where the muscles should work

\* Feet shoulder width apart parallel or staggered foot position

**Position:** step/stance foot position at beginning and end



### Standing rows

Standing with a chair behind you; resistance band looped around a door knob or banister in front of you at approximately waist-level

Arms in front of you like you are reaching to shake hands

Exhale and bring your shoulder blades back and then bend your elbows toward the side of your body; slowly release

TIP: Keep elbows bent as you bring the arms back but focus on pulling shoulder blades together;

**Positions:** a) parallel foot position- knees unlocked  
 b) step stance position – knees unlocked  
 c) row with dynamic squat to 45 degrees



### Standing punch

Standing with your back towards the door knob or banister; resistance band looped around a door knob or banister

Hold theraband in one hand with the elbow bent by your side

Step forward with the weight transferring into the *opposite* front leg

#### Positions:

- a) extend arm with resistance band as you step opposite leg forward without bending knee
- b) extend arm with resistance band as you step forward with small knee bend of forward leg
- c) extend arm with resistance band as you step forward with deep lunge.



### Heel Rise

Stand behind a sturdy chair or table; feet shoulder width apart

Breathe in slowly, breathe out and slowly lift your heels up

Hold 1 second and slowly lower.

#### Positions:

- a) sitting heel rise
- b) step stance position (heel to toe) lift back heel
- c) bilateral with heels dropped off edge
- d) standing bilateral heel rise
- e) unilateral heel rise



### Wall Pushup

Face a wall, stand so your fingers don't quite touch the wall with your arms extended forward shoulder width apart. Lean forward and put your palms flat against the wall. (this is position "c")

Inhale as you bend your elbows and bring your body forward to the wall, exhale as you straighten your elbows and push your chest back to the starting position.

- Positions:**
- a) stand facing wall with elbows bent \_\_\_\_\_degrees
  - b) stand facing wall with palms touching wall
  - c) stand facing wall with fingers 1" off wall – lean forward
- Don't arch your back  
Stop with pain

